

**Version with markings to show changes made**

**In the specification:**

Paragraph beginning at page 3, line 32, has been amended as follows:

Figure 2 is a compilation of graphical representations of data which indicate that [PS] pregnenolone sulfate (PS) inhibits [AMPA]  $\alpha$ -amino-3-hydroxy-5-methyl-4-isoxazolepropionate (AMPA) and kainate receptor function. Figures [1(A)]2(A) through [1(D)]2D are representative traces showing the inhibitory effect of 100  $\mu$ M PS on kainate-induced currents of oocytes injected with (A) rat brain poly(A)<sup>+</sup> RNA, (B) GluR1 cRNA, (C) GluR3 cRNA, (D) GluR6 cRNA. The kainate concentration used in (A)-(C) was 100  $\mu$ M, and in (D) was 10  $\mu$ M. The *solid bar* represents the period of kainate (KA) application; the *open bar* indicates the period of PS exposure. Figure [1(E)]2E is a graph of relative current for the indicated Kainate concentration. The administration of PS (open symbols) is seen to decrease maximum kainate responses of GluR1 (●, ○), GluR3 (■, □), and GluR6 (▲, △) receptors. Each *data point* represents the mean of three experiments. *Error bars* represent standard error. *Smooth curve* was determined by nonlinear regression using the logistic equation applied to pooled data. Fitted parameters are (GluR1)  $I_{\max}=1.0$ ,  $EC_{50}=27 \mu\text{M}$ ,  $n_H=1.54$ ; (GluR1 + PS)  $I_{\max}=0.17$ ,  $EC_{50}=23 \mu\text{M}$ ,  $n_H=0.9$ ; (GluR3)  $I_{\max}=1.15$ ,  $EC_{50}=27 \mu\text{M}$ ,  $n_H=1.44$ ; (GluR3 + PS)  $I_{\max}=0.33$ ,  $EC_{50}=32 \mu\text{M}$ ,  $n_H=1.93$ ; (GluR6)  $I_{\max}=1.0$ ,  $EC_{50}=550 \text{ nM}$ ,  $n_H=1.1$ ; (GluR6 + PS)  $I_{\max}=0.69$ ,  $EC_{50}=570 \text{ nM}$ ,  $n_H=1.2$ . Figure [1(F)]2F is a graph of data showing the concentration dependence of PS inhibition of recombinant GluR1 (○), GluR3 (□), and GluR6 (▲) receptors. Results are expressed as percentage change in the peak 100  $\mu$ M (GluR1 and GluR3) or 10  $\mu$ M (GluR6) kainate-induced current in the presence of PS. Each *data point* is the mean of three experiments; *error bars* indicate S.E.M. For GluR1 and GluR3, *smooth curves* are derived from fits to the Michaelis-Menten equation, as fits to the logistic equation yielded Hill coefficients close to 1, with no significant improvement in sum of squares ( $F$ -test,  $P > 0.05$ ). Fitted parameters are (GluR1)  $EC_{50}=43 \mu\text{M}$ ,  $E_{\max} = -99\%$ ; (GluR3)  $EC_{50}=12 \mu\text{M}$ ,  $E_{\max} = -90\%$ . For GluR6, the smooth curve is derived from a fit to the logistic equation, as Michaelis-Menten fits were significantly poorer ( $F$ -test,  $P < 0.05$ ). Maximum inhibition was constrained to 100%, as an

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unconstrained fit yielded an extrapolated maximum inhibition >100%. Fitted parameters are  $EC_{50}=80 \mu M$ ,  $n_H=0.29$ .

Paragraph beginning at page 5, line 4, has been amended as follows:

Figure 3 is a compilation of graphical representations of data which indicate that neuroactive steroids modulate NMDA responses of oocytes injected with specific NMDA receptor subunits. Figure [2(A)]3(A) indicates the potentiation of the  $100 \mu M$  NMDA response by PS in oocytes injected with NR1<sub>100</sub> + NR2A cRNA. The *solid bar* indicates the period of NMDA exposure; the *open bar* indicates the period of PS exposure. Figure [2(B)]3(B) indicates inhibition of the  $100 \mu M$  NMDA response by  $3\alpha 5\beta S$  in oocytes injected with NR1<sub>100</sub> + NR2A cRNA. The *solid bar* indicates the period of NMDA exposure; the *shaded bar* indicates the period of  $3\alpha 5\beta S$  exposure. Figure [2(C)]3(C) indicates modulation of agonist efficacy by PS and  $3\alpha 5\beta S$  in oocytes injected with NR1<sub>100</sub> + NR2A cRNA. PS ( $100 \mu M$ ) increases the NMDA  $I_{max}$  but does not affect the  $EC_{50}$ .  $3\alpha 5\beta S$  ( $100 \mu M$ ) markedly reduces the NMDA  $I_{max}$  with little effect on  $EC_{50}$ . Peak NMDA responses are normalized to the peak  $100 \mu M$  NMDA response. Each *data point* represents the mean of three experiments. *Error bars* represent standard error. *Smooth curves* are derived from fits to the logistic equation. Fitted parameters are (control)  $EC_{50}=29 \mu M$ ,  $E_{max}=1.14$ ,  $n_H=1.43$ ; (+PS)  $EC_{50}=30 \mu M$ ,  $E_{max}=3.21$ ,  $n_H=1.54$ ; (+ $3\alpha 5\beta S$ )  $EC_{50}=15 \mu M$ ,  $E_{max}=0.35$ ,  $n_H=1.66$ . Figure [2(D)]3(D) is a graph indicating the concentration dependence of steroid modulation of the NMDA response of oocytes injected with NR1<sub>100</sub> + NR2A cRNA. NMDA ( $100 \mu M$ ) and the indicated concentration of PS (●),  $3\beta 5\beta S$  (Δ), or  $3\alpha 5\beta S$  (□) were applied simultaneously for 10 s. The peak NMDA-induced current is expressed relative to the average of control NMDA responses determined before application of steroid and after steroid washout. *Points* indicate mean of 6 (PS and  $3\alpha 5\beta S$ ), and 4 ( $3\beta 5\beta S$ ), experiments. *Error bars* indicate S.E.M. Smooth curves are derived from fits to the Michaelis-Menten equation, as fits to the logistic equation yielded Hill coefficients close to 1, with no significant improvement in sum of squares (*F*-test,  $P > 0.05$ ). Fitted parameters are (for PS)  $EC_{50}=32 \mu M$ ,  $E_{max}=4.43$  (for  $3\alpha 5\beta S$ )  $EC_{50}=41 \mu M$ ,  $E_{max}=0.1$ ; (for  $3\beta 5\beta S$ )  $EC_{50}=79 \mu M$ ,  $E_{max}=0.26$ . (E) Concentration dependence for PS enhancement (●) and  $3\alpha 5\beta S$  (Δ) and  $3\beta 5\beta S$  (□) inhibition of the NMDA response of oocytes